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QUESTION 1

Which authority supervises and enforces laws regarding advertising to children via the Internet?

- A. The Office for Civil Rights
- B. The Federal Trade Commission
- C. The Federal Communications Commission
- D. The Department of Homeland Security

Correct Answer: B

QUESTION 2

Which of the following incidents will require reporting to OPC?

- A. A sales report with aggregated information that was sent to the wrong person internally.
- B. A file with client ID, sales amount and sales date that was sent to the wrong processors who cannot identify the clients.
- C. An organization's point-of-sale system that was subject to an attempted hack that was blocked by the organization's firewall.
- D. As part of a freedom of information request, a nursing home that released an e-mail with everybody's e-mail address in the "to" section unredacted.

Correct Answer: D

QUESTION 3

What are banks required to do under the Gramm-Leach-Bliley Act (GLBA)?

- A. Conduct annual consumer surveys regarding satisfaction with user preferences
- B. Process requests for changes to user preferences within a designated time frame
- C. Provide consumers with the opportunity to opt out of receiving telemarketing phone calls
- D. Offer an Opt-Out before transferring PI to an unaffiliated third party for the latter's own use

Correct Answer: D

QUESTION 4

Smith Memorial Healthcare (SMH) is a hospital network headquartered in New York and operating in 7 other states. SMH uses an electronic medical record to enter and track information about its patients. Recently, SMH suffered a data breach where a third-party hacker was able to gain access to the SMH internal network.

Because it is a HIPPA-covered entity, SMH made a notification to the Office of Civil Rights at the U.S. Department of Health and Human Services about the breach.

Which statement accurately describes SMH's notification responsibilities?

- A. If SMH is compliant with HIPAA, it will not have to make a separate notification to individuals in the state of New York.
- B. If SMH has more than 500 patients in the state of New York, it will need to make separate notifications to these patients.
- C. If SMH must make a notification in any other state in which it operates, it must also make a notification to individuals in New York.
- D. If SMH makes credit monitoring available to individuals who inquire, it will not have to make a separate notification to individuals in the state of New York.

Correct Answer: C

QUESTION 5

What consumer protection did the Fair and Accurate Credit Transactions Act (FACTA) require?

- A. The ability for the consumer to correct inaccurate credit report information
- B. The truncation of account numbers on credit card receipts
- C. The right to request removal from e-mail lists
- D. Consumer notice when third-party data is used to make an adverse decision

Correct Answer: A

QUESTION 6

Under the Personal Information Protection and Electronic Documents Act (PIPEDA), when engaging in a third-party transfer of personal information for processing, an organization is expected to have the technology to protect the information during transit and to?

- A. Establish a contract outlining the individual outsourcing arrangement.
- B. Obtain additional consent for the use of the information by the third party.
- C. Confirm the jurisdictional protections of the receiving organization are the same as PIPEDA.
- D. Review the cross-border data flow completed and approved by the Treasury Board of Canada Secretariat.

Correct Answer: A

QUESTION 7

Which act violates the Family Educational Rights and Privacy Act of 1974 (FERPA)?

- A. A K-12 assessment vendor obtains a student's signed essay about her hometown from her school to use as an exemplar for public release
- B. A university posts a public student directory that includes names, hometowns, e-mail addresses, and majors
- C. A newspaper prints the names, grade levels, and hometowns of students who made the quarterly honor roll
- D. University police provide an arrest report to a student's hometown police, who suspect him of a similar crime

Correct Answer: A

QUESTION 8

Within what time period must a commercial message sender remove a recipient's address once they have asked to stop receiving future e-mail?

- A. 7 days
- B. 10 days
- C. 15 days
- D. 21 days

Correct Answer: B

QUESTION 9

The movement toward comprehensive privacy and data protection laws can be attributed to a combination of three major factors: the need to remedy past injustices, the need to promote a digital economy and the need to ensure consistency with?

- A. Self-regulatory laws.
- B. Pan-European laws.
- C. Pan-Asian laws.
- D. Global laws.

Correct Answer: D

QUESTION 10

Of the key principles in the Personal Information Protection and Electronic Documents Act (PIPEDA), which principle in particular contributes to the increase in privacy policies in recent years?

- A. Limiting Use, Disclosure, and Retention.
- B. Individual Access.
- C. Openness.
- D. Accuracy.

Correct Answer: C

QUESTION 11

A boutique hotel in Montreal seeks to attract travelers from Europe but wants to avoid becoming subject to the GDPR's requirements. Which of the following activities is most likely to result in a finding that the hotel is subject to the GDPR?

- A. Placing advertisements on travel websites accessible in Europe.
- B. Collecting contact information for foreign business leaders from public directories.
- C. Sending discount offers to guests who previously registered using a foreign address.
- D. Translating the hotel's registration page into German based on the visitor's IP address.

Correct Answer: D

QUESTION 12

In Ontario, a patient attends an appointment with a physician and reveals information about some new symptoms that she has been experiencing. Based on this information, the physician diagnoses the patient with a condition and prepares the report detailing the applicable history and diagnosis. The report is added to the patient's record. The patient later regrets revealing certain facts and doesn't want anyone else to know about these symptoms or the diagnosis. She acknowledges that the information she provided was correct and does not question the diagnosis.

Which of the following requests would the patient be most successful at pursuing?

- A. That a correction be made to change the diagnosis based on the patient's wishes.
- B. That the information be restricted from disclosure to other health care providers.
- C. That a copy of the record be kept by the patient for disclosure to physicians.
- D. That details of the diagnosis be deleted from the patient's health record.

Correct Answer: B

QUESTION 13

Safeguarding and securing information that is considered sensitive under privacy legislation generally falls into three categories: Administrative, Technical and?

- A. Legal.
- B. Physical.
- C. Personal.
- D. Logistical.

Correct Answer: B

QUESTION 14

In what way is the Controlling the Assault of Non-Solicited Pornography and Marketing (CAN-SPAM) Act intended to help consumers?

- A. By providing consumers with free spam-filtering software.
- B. By requiring a company to receive an opt-in before sending any advertising e-mails.
- C. By prohibiting companies from sending objectionable content through unsolicited e-mails.
- D. By requiring companies to allow consumers to opt-out of future e-mails.

Correct Answer: C

QUESTION 15

SCENARIO

Please use the following to answer the next QUESTION:

Cheryl is the sole owner of Fitness Coach, Inc., a medium-sized company that helps individuals realize their physical fitness goals through classes, individual instruction, and access to an extensive indoor gym. She has owned the company for ten years and has always been concerned about protecting customer's privacy while maintaining the highest level of service. She is proud that she has built long-lasting customer relationships.

Although Cheryl and her staff have tried to make privacy protection a priority, the company has no formal privacy policy. So Cheryl hired Janice, a privacy professional, to help her develop one.

After an initial assessment, Janice created a first of a new policy. Cheryl read through the draft and was concerned about the many changes the policy would bring throughout the company. For example, the draft policy stipulates that a customer's personal information can only be held for one year after paying for a service such as a session with

personal trainer. It also promises that customer information will not be shared with third parties without the written consent of the customer. The wording of these rules worry Cheryl since stored personal information often helps her company to serve her customers, even if there are long pauses between their visits. In addition, there are some third parties that provide crucial services, such as aerobics instructors who teach classes on a contract basis. Having access to customer files and understanding the fitness levels of their students helps instructors to organize their classes.

Janice understood Cheryl's concerns and was already formulating some ideas for revision. She tried to put Cheryl at ease by pointing out that customer data can still be kept, but that it should be classified according to levels of sensitivity. However, Cheryl was skeptical. It seemed that classifying data and treating each type differently would cause undue difficulties in the company's day-to-day operations. Cheryl wants one simple data storage and access system that any employee can access if needed.

Even though the privacy policy was only a draft, she was beginning to see that changes within her company were going to be necessary. She told Janice that she would be more comfortable with implementing the new policy gradually over a period of several months, one department at a time. She was also interested in a layered approach by creating documents listing applicable parts of the new policy for each department.

What is the best reason for Cheryl to follow Janice's suggestion about classifying customer data?

- A. It will help employees stay better organized
- B. It will help the company meet a federal mandate
- C. It will increase the security of customers' personal information (PI)
- D. It will prevent the company from collecting too much personal information (PI)

Correct Answer: C

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