

3i0-008^{Q&As}

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QUESTION 1

Click on the Detail Button to view the Formula Sheet. Automatic trading systems for interbank spot FX display the best prices entered into the systems by users and:

- A. Display the names of those users along their prices
- B. Offer pre-trade anonymity to users quoting prices
- C. Offer pre and post-trade anonymity to users quoting prices
- D. Offer users the choice of whether to remain anonymous

Correct Answer: B

QUESTION 2

Click on the Detail Button to view the Formula Sheet. You deal over the phone with a counterparty. The subsequent confirmation differs from the terms agreed verbally. What is the result?

- A. The confirmation takes precedence as it is a written contract.
- B. The matter will have to be submitted to arbitration in order to establish the mutual intent of the parties.
- C. It depends on local law.
- D. The verbal agreement is binding.

Correct Answer: D

QUESTION 3

Click on the Detail Button to view the Formula Sheet. An option contract that gives the buyer the right to exercise the option at several distinct points during its life is called:

- A. European-style option
- B. American-style option
- C. Bermudan option
- D. Asian option

Correct Answer: C

QUESTION 4

Click on the Detail Button to view the Formula Sheet. When a broker calls "off" at the very instant a dealer "hits" the broker's price:

- A. The transaction should be concluded.
- B. The broker decides whether or not the deal is done.
- C. ACI's Committee for Professionalism will decide whether the transaction should be concluded.
- D. The transaction should not be concluded.

Correct Answer: D

QUESTION 5

Click on the Detail Button to view the Formula Sheet. What are the secondary market proceeds of a CD with a face value of EUR 5 million and a coupon of 3% that was issued at par for 182 days and is now trading at 3% but with only 7 days remaining to maturity?

- A. EUR 4,997,085.03
- B. EUR 5,000,000.00
- C. EUR 5,071,086.45
- D. EUR 5,072,874.16

Correct Answer: D

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