

3i0-008^{Q&As}

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QUESTION 1

Click on the Detail Button to view the Formula Sheet. In case of a default on a repo by the seller:

- A. The buyer can liquidate the collateral
- B. The buyer has to liquidate the collateral
- C. The buyer cannot liquidate the collateral until the seller is declared insolvent
- D. A court is appointed to decide what happens to the collateral

Correct Answer: A

QUESTION 2

Click on the Detail Button to view the Formula Sheet. Automatic trading systems for interbank spot FX display the best prices entered into the systems by users and:

- A. Display the names of those users along their prices
- B. Offer pre-trade anonymity to users quoting prices
- C. Offer pre and post-trade anonymity to users quoting prices
- D. Offer users the choice of whether to remain anonymous

Correct Answer: B

QUESTION 3

Click on the Detail Button to view the Formula Sheet. The spot/next repo rate for the 5% bund 2006 is quoted to you at 1.75-80%. You sell bonds with a market value of EUR 5,798,692 through a sell/buy-back. The Repurchase Price is:

- A. EUR 5,798,982
- B. EUR 5,799,497
- C. EUR 5,746,376
- D. EUR 5,000,694

Correct Answer: A

QUESTION 4

Click on the Detail Button to view the Formula Sheet. When a stop-loss/profit order is taken, the rate specified in the order:

- A. Must be transacted regardless of where the market moved.
- B. Must be transacted if a broker confirms that the rate specified was reached
- C. Cannot be taken as a fixed-price guarantee.
- D. None of the above.

Correct Answer: C

QUESTION 5

Click on the Detail Button to view the Formula Sheet. A broker offers a dealer an incentive in the form of a reduction to the agreed schedule of brokerage between the firms.

- A. This is a normal volume discount.
- B. The offer requires approval in writing by both senior managements.
- C. The offer requires agreement in writing between the broker and the dealer.
- D. This is illegal.

Correct Answer: B

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